

UTILITY PATENT  
APPLICATION TRANSMITTAL

(Only for new nonprovisional applications  
under 37 CFR 1.53(b))

Check Box, if applicable [ ] Duplicate

Attorney Docket No.

021738A

Total Pages

First Named Inventor or Application Identifier

Hideki YAMAWAKI

Express Mail Label No.

APPLICATION ELEMENTS FOR:

**METHOD OF FORMING A FERROELECTRIC FILM AND  
FABRICATION PROCESS OF A SEMICONDUCTOR  
DEVICE HAVING A FERROELECTRIC FILM**

ADDRESS TO:

Commissioner for Patents  
MAIL STOP PATENT APPLICATION  
P.O. Box 1450  
Alexandria, VA 22313-1450

1. [XX] Fee Transmittal Form (Incorporated within this form)  
(Submit an original and a duplicate for fee processing)

2. [XX] Specification Total Pages [33]

3. [XX] Drawing(s) (35 USC 113) Total Sheets [13]

4. [XX] Oath or Declaration Total Pages [3]

a. [ ] Newly executed (original)

b. [XX] Copy from prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 17 completed.)

i. [ ] Deletion of Inventor(s)  
Signed statement attached deleting inventor(s) named in prior application,  
see 37 CFR 1.63(d)(2) and 1.33(b).

5. [XX] Incorporation by reference (useable if box 4b is checked)  
The entire disclosure of the prior application, from which a copy of the oath or declaration  
is supplied under Box 4b, is considered as being part of the disclosure of the accompanying  
application and is hereby incorporated by reference therein.

6. [ ] Microfiche Computer Program (Appendix)

7. [ ] Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)

a. [ ] Computer Readable Copy

b. [ ] Paper Copy (identical to computer copy)

c. [ ] Statement Verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

8. [XX] Assignment Papers (cover sheet and document(s)) to: **FUJITSU LIMITED, Kawasaki, Japan**  
have been recorded at Reel 013647 / Frame 0848 on **January 9, 2003**.  
**Please publish the assignee data with the application.**

9. [ ] 37 CFR 3.73(b) Statement (when there is an assignee) [XX] Power of Attorney

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10. ☐ English translation Document (if applicable)

11. ☒ Information Disclosure Statement ☐ Copies of IDS Citations

12. ☒ Preliminary Amendment

13. ☒ Return Receipt Postcard (MPEP 503)

14. ☐ Small Entity Status is claimed.

15. ☒ Claim for Convention Priority ☐ Certified copy of Priority Document

a. Priority of **Japanese** Application No. **2002-56146** filed on **March 1, 2002** is claimed under 35 USC 119.  
The certified copy has been filed in prior application Serial No. **10/338,647**.

16. ☐ Other

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

☐ Continuation ☒ Division ☐ Continuation-in-part (CIP) of prior application no. **10/338,647**

FEE TRANSMITTAL The filing fee is calculated below	Number Filed	Number Extra	Rate	Basic Fee \$ 770.00
Total Claims	3 - 20		x \$18.00	
Independent Claims	2 - 3		x \$86.00	
Multiple Dependent Claims			\$290.00	
Total Basic Filing Fee				770.00
Reduction by 1/2 for small entity				
Fee for recording enclosed Assignment			\$40.00	
TOTAL				\$ 770.00

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☒ [XX] A check in the amount of **\$ 770.00** is enclosed to cover the basic filing fee.

☐ [ ] Please charge our Deposit Account No. 50-2866 in the total amount of \_\_\_\_\_ to cover the filing fee and the assignment recordation fee. A duplicate of this sheet is attached.

☒ [XX] The Commissioner is hereby authorized to charge payment for any additional filing fees required under 37 CFR 1.16 or credit any overpayment to Deposit Account no. 50-2866. A duplicate of this sheet is attached.

**18. CORRESPONDENCE ADDRESS:**

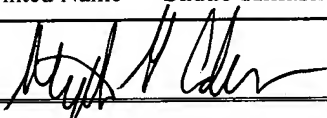
**WESTERMAN, HATTORI, DANIELS & ADRIAN, LLP**  
**Customer No. 38834**

**SUBMITTED BY**

Typed or Printed Name **Sadao Kinashi**

Reg. No. **48,075**

Signature



*Reg. No. 32,878*

Date: **January 30, 2004**

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